

10/542506  
JC18 Rec'd PCT/PTO 15 JUL 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John Holahan

GROUP:

SERIAL NO.:

EXAMINER:

FILED:

DOCKET NO.: 9317W1

FOR: IMPROVED THICKENED BEVERAGES FOR DYSPHAGIA

St. Louis, Missouri  
July 15, 2005

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO § 1.97 AND § 1.98**

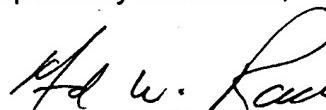
Sir:

The attached form PTO-1449, listing information, patents and/or literature references, is being submitted within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. **Therefore, no fee is due.** Copies of any non-US patents or publications is submitted herewith.

10/542506  
JC18 Rec'd PCTA 10 15 JUL 2005

The Commissioner is hereby authorized to charge any additional fees or credit overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account 162201. *Duplicate copies of this sheet are enclosed.*

Respectfully submitted,



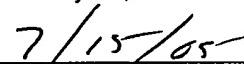
Date: July 15, 2005

Ned W. Randle, Reg. No. 35,989  
Polster, Lieder, Woodruff & Lucchesi, L.C.  
Customer Number: 001688  
12412 Powerscourt Drive  
St. Louis, Missouri 63131-3615  
Telephone: (314) 238-2400  
Facsimile: (314) 238-2401

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage via Express Mail in an envelope addressed to Mail Stop: PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on July 15, 2005.

  
\_\_\_\_\_  
Ned W. Randle, Reg. No. 35,989

  
\_\_\_\_\_  
Date

10/542506

JC18 Rec'd PTO 15 JUL 2005

Sheet 1 of 2

<b>FORM PTO-1449</b> U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE								ATTY. DOCKET NO.: 9317W1	SERIAL NO.:
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>								APPLICANT: John Holahan	
(Use several sheets if necessary)								Filing Date:	Group:

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number								Kind Code (click here)	Patent Issue Date OR US App. Pub. Date OR US Non-Published Application Filing Date	Name			
	US				3	9	4	9	1	0	4	April 6, 1976	Cheng et al.	
	US				4	2	9	9	8	2	5	November 10, 1981	Lee	
	US				6	0	3	3	7	1	2	March 7, 2000	Greenshields et al.	
	US				4	1	0	7	3	4	3	August 15, 1978	Petricca	
	US				4	2	5	2	8	3	5	February 24, 1981	Maerker et al.	
	US				4	2	6	9	9	7	4	May 26, 1981	Wintersdorff	
	US				4	6	5	4	0	8	6	March 31, 1987	Baird et al.	
	US				4	8	5	9	4	8	4	August 22, 1989	Bielskis et al.	
	US				6	1	7	4	5	4	9	January 16, 2001	Greenshields et al.	
	US				5	3	0	0	3	0	2	April 5, 1994	Tachon et al.	
	US				5	2	7	0	4	5	9	December 14, 1993	Shatzman et al.	
	US				5	8	3	7	2	7	2	November 17, 1998	Fierro, Jr. et al.	
	US				5	6	5	4	0	2	7	August 5, 1997	Chalupa	
	US				5	6	3	3	0	2	8	May 27, 1997	Wong	
	US				5	3	8	5	7	4	8	January 31, 1995	Bunger et al.	
	US				5	9	3	2	2	3	5	August 3, 1999	Ninomiya et al.	
	US				5	5	3	6	8	2	5	July 16, 1996	Yeh et al.	
	US				5	9	9	7	9	0	7	December 7, 1999	Goswami et al.	
	US				5	8	6	9	0	2	9	February 9, 1999	Graff-Andersen et al.	
	US				6	4	5	5	0	9	0	B1	September 24, 2002	Uzuhashi et al.
	US				4	6	7	1	9	6	6	June 9, 1987	Giddey et al.	
	US				5	8	1	1	1	4	8	September 22, 1998	Chiu et al.	
	US				6	4	6	1	5	8	9	B2	October 8, 2002	Robbins
	US				6	0	3	3	7	1	3	March 7, 2000	Sheldon	
	US				6	0	3	6	9	8	2	March 14, 2000	Lehmberg et al.	
	US				6	1	6	2	4	7	1	December 19, 2000	Sheldon	
US	2	0	0	4	0	1	9	7	4	5	6	A1	October 7, 2004	Holahan
US					6	4	5	8	3	9	5	B1	October 1, 2002	Emoto

10/542506

JC18 Rec'd PCT/DM

15/11/2005

Sheet 2 of 2

## FOREIGN PATENT DOCUMENTS

	Publication Number							Date	Country	Translation	
	1	0	4	6	3	4	7	A1	EP	X	
EP	1	0	4	6	3	4	7	A1	25.10.2000 Bulletin	EP	X
WO	0	1	1	5	7	4	3	A2	March 8, 2001	PCT	X
EP	0	6	2	0	0	1	2	A1	19.10.1994	EP	X
WO	0	3	0	1	1	0	51	A1	February 13, 2003	PCT	X
EP	1	0	7	8	9	8	1	A1	28.02.2001	EP	X
WO	9	9	3	4	6	9	0		08.01.98	PCT	X
WO	9	9	2	5	2	0	8		May 27, 1999	PCT	X
DE	1	9	9	1	8	2	10	A1	2.11.2000	DE	X
Patent Abstract	1	0	2	2	9	5	17		11.05.99	JP	X
Patent Abstract	1	1	3	1	8	3	56		11.24.99	JP	X
Patent Abstract	1	1	8	7	8	2	7		07.13.99	JP	X
Patent Abstract	1	0	1	3	1	4	78		11.24.99	JP	X

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Department of Health and Human Services; Food & Drug Administration; "Warning Statements Required for Over-The-Counter Drugs Containing Water-Soluble Gums as Active Ingredients
		Federal Register; Vol. 58, No. 164; Thursday, August 26, 1993/Rules and Regulations (8 pages total)
	2.	American College of Radiology; ACR Appropriateness Criteria; "Imaging Recommendations for Patients with Dysphagia"; p. 225-230 (6 pages total)
	3.	American Speech-Language Hearing Association; "Communication Facts, Special Populations: Dysphagia"; 1999 Edition (3 pages total)
	4.	Dysphagia 9:209-217; "Epidemiology and Dysphagia"; Keith V. Kuhlemeier, PhD, MPH; 1994; p. 209-217 (9 pages total)
	5.	American Speech-Language Hearing Association; "Communication Facts, Special Populations: Dysphagia"; 2001 Edition; <a href="http://professional.asha.org/research/dysphagia.htm">http://professional.asha.org/research/dysphagia.htm</a> (6 pages total)
	6.	Journal of Neuroscience Nursing, April, 1989, Vol. 21, No. 2; "Dysphagia in Huntington's Disease"; Vicki P. Hunt, RN, Francis O. Walker, MD; p. 92-95 (4 pages total)
	7.	Arch Neurol – Vol. 42, Jan. 1985; "Dysphagia in Huntington's Disease"; Norman A. Leopold, DO, Marion C. Kagel, MA; p. 57-60 (4 pages total)
	8.	Abstract; Research Disclosure, XP - 002305761; "Concentrated Gellan Gum Gel (5%) Suitable to Gel Various Other Systems"; King, A.H.; 1996 (1 page total)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)